



100. 0500
07/27/95
Micrographics
1814

1381
1814

8/22

PATENT
Atty Dkt: 203442102500
Client No.: 1025

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on 7/24/95

7/24/95 Annette Sranador
Date Signature

RECEIVED

AUG 14 1995

GROUP 1800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Vishva M. Dixit

Serial No.: 08/404,832

Filed: March 13, 1995

For: CD40 BINDING COMPOSITIONS AND
METHODS OF USING SAME

COMMUNICATION FORWARDING SMALL ENTITY DECLARATION

Assistant Commissioner
for Patents
Washington, D.C. 20231

Sir:

Applicant respectfully requests that the attached Verified Statement (Declaration) Claiming Small Entity Status 37 CFR 1.9(f) and 1.27(d) - Nonprofit Organization be made of record in the application file. The Small Entity form submitted for the subject matter of this application on June 1, 1995 incorrectly identified the assignee of this application as a small business concern. The attached Declaration correctly identifies the assignee as a nonprofit organization.

PATENT
USSN 08/404,832
Atty Dkt 203442102500

No fee is deemed necessary in connection with the filing of this paper. However, if any fee is required, authorization is given to charge the amount of this fee to Deposit Account No. 03-1952.

Respectfully submitted,

By Antoinette F. Konski
Antoinette F. Konski
Registration No. 34,202

Date: July 21, 1995

MORRISON & FOERSTER
755 Page Mill Road
Palo Alto, CA 94304-1018
(415) 813-5600
Fax: (415) 494-0792

Applicant or Patentee: Vishva M. Dixit

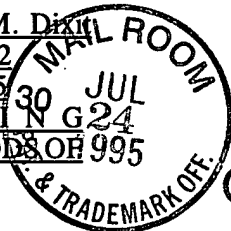
Serial or Patent No.: 08/404,832

Filed or Issued: March 13, 1995

For: C D 4 0 B I N D I N G 24

COMPOSITIONS AND METHODS OF

USING SAME



RECEIVED

AUG 14 1995

GROUP 1800

Attorney

Docket No.: 203442102500

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS 37 CFR 1.9(f) AND 1.27(d) - NONPROFIT ORGANIZATION**

I hereby declare that I am

☐ the owner of the nonprofit concern identified below:

☒ an official of the nonprofit concern empowered to act on behalf of the concern
identified below:

NAME OF NONPROFIT ORGANIZATION THE REGENTS OF THE UNIVERSITY OF
MICHIGAN

ADDRESS OF NONPROFIT ORGANIZATION Wolverine Tower, Room 2071,
3003 South State Street, Ann Arbor, MI 48109

TYPE OF NONPROFIT ORGANIZATION:

- ☒ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
- ☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a)
and 501(c)(3))
- ☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF
THE UNITED STATES OF AMERICA
(NAME OF STATE ☐
(CITATION OF STATUTE ☐
- ☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE
CODE (26 U.S.C. 501(a) AND 501(c)(3)) IF LOCATED IN THE UNITED STATES
OF AMERICA
- ☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER
STATUTE OF STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN
THE UNITED STATES OF AMERICA
(NAME OF STATE ☐
(CITATION OF STATUTE ☐

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit
organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees to the United States
Patent and Trademark Office regarding the invention entitled CD40 BINDING COMPOSITIONS
AND METHODS OF USING SAME inventor(s) Vishva M. Dixit described in:

☐ the specification filed herewith.

☒ application serial no. 08/404,832, filed March 13, 1995

☐ patent no. ☐, issued ☐.

I hereby declare that the rights under contract or law have been conveyed to and remain with
the nonprofit organization regarding the above identified invention.

Applicant or Patentee: Vishva M. Dixit
Serial or Patent No.: 08/404,832
Filed or Issued: March 13, 1995
For: CD40 BINDING
COMPOSITIONS AND
METHODS OF USING SAME

Attorney
Docket No.: 203442102500

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights in the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME: _____
ADDRESS: _____
_ INDIVIDUAL _ SMALL BUSINESS CONCERN _ NONPROFIT ORGANIZATION

NAME: _____
ADDRESS: _____
_ INDIVIDUAL _ SMALL BUSINESS CONCERN _ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification or any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Robert L. Robb

TITLE IN ORGANIZATION OF PERSON SIGNING: Director, Technology Management Office

ADDRESS OF PERSON SIGNING: 3003 S. State St., Ann Arbor, Mi. 48109-1280

SIGNATURE  DATE 6-22-95